
Section 1: 8-K (8-K)

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): June 15, 2020

TIPTREE INC.

(Exact Name of Registrant as Specified in Charter)

Maryland
(State or Other Jurisdiction
of Incorporation)

001-33549
(Commission
File Number)

38-3754322
(I.R.S. Employer
Identification No.)

299 Park Avenue, 13th Floor
New York, New York
(Address of Principal Executive Offices)

10171
(Zip Code)

<u>Title of each class</u>	<u>Trading Symbol(s)</u>	<u>Name of each exchange on which registered</u>
Common Stock, par value \$0.001 per share	TIPT	Nasdaq Capital Market

Registrant's telephone number, including area code: (212) 446-1400
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders.

Tiptree Inc., (the “Company”) held its 2020 Annual Meeting of Stockholders on June 15, 2020 (the “2020 Annual Meeting”). The matters voted upon at the 2020 Annual Meeting and the results of such voting are set forth below:

Proposal 1: Election of Board of Directors:

<u>Name of Director</u>	<u>For</u>	<u>Withheld</u>	<u>Broker Non-Vote</u>
Paul M. Friedman	18,283,205	4,392,154	4,786,429
Bradley E. Smith	18,856,489	3,818,870	4,786,429

All director nominees were duly elected.

Proposal 2: Ratification of selection of Deloitte & Touche LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2020:

<u>For</u>	<u>Against</u>	<u>Abstain</u>
27,453,208	7,655	925

Proposal 2 was approved.

Proposal 3: To approve, in an advisory (non-binding) vote, the compensation of our named executive officers:

<u>For</u>	<u>Against</u>	<u>Abstain</u>
22,032,249	414,426	228,684

Proposal 3 was approved.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TIPTREE INC.

Date: June 16, 2020

By: /s/ Jonathan Ilany
Name: Jonathan Ilany
Chief Executive Officer

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